



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/727,877
		Filing Date	December 3, 2003
		First Named Inventor	Winkler, James L.
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	018547-035540US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard	
Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP Darin J. Gibby	
Signature		
Date	March 5, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Connie Larson		
Signature		Date	March 5, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

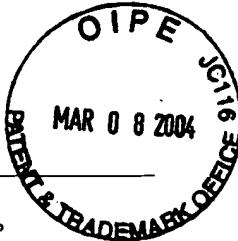
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On March 5, 2004

TOWNSEND and TOWNSEND and CREW LLP

By: Connie Larson

PATENT
Attorney Docket No.: 018547-035540US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James L. Winkler et al.

Application No.: 10/727,877

Filed: December 3, 2003

For: DEVICE AND METHODS FOR
MIXING FLUIDS

Examiner:

Art Unit:

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are not enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Darin J. Gibby
Reg. No. 38,464

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DJG/cl
60156838 v1



Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

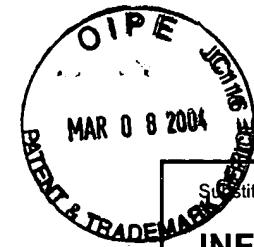
				Complete if Known			
				Application Number	10/727,877		
				Filing Date	December 3, 2003		
				First Named Inventor	Winkler, James L.		
				Art Unit			
				Examiner Name			
Sheet	3	of		Attorney Docket Number	018547-035540US		

U.S. PATENT DOCUMENTS						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AA	423,362	3/1890	Wells			
AB	493,046	3/1893	Shumway			
AC	689,213	12/1901	Nicholson			
AD	2,914,384	11/1959	Al-Marachy	422	73	
AE	3,090,604	5/1963	Wheeler			
AF	32,32,247	2/1966	Vaughan			
AG	3,233,975	2/1966	McCormick	222	456	
AH	4,329,068	5/1982	Neuner et al	366	214	
AI	4,625,867	12/1986	Guibert			
AJ	4,892,412	1/1990	Thomas			
AK	4,801,431	1/1989	Cuomo et al.	422	104	
AL	4,892,830	1/1990	Findley et al.	435	286.6	
AM	4,907,893	3/1990	Niemeck et al.	366	144	
AN	5,009,316	4/1991	Klein	206	443	
AO	5,162,237	11/1992	Messenger et al.	436	523	
AP	5,231,029	7/1993	Wootton et al.	435	303.2	
AQ	5,266,272	11/1993	Griner et al.	422	104	
AR	5,380,662	1/1995	Robbins et al.	435	303.1	
AS	5,441,150	8/1995	Ma			
AU	5,516,692	5/1996	Berndt			
AV	5,635,398	6/1997	Kapka et al.	435	286.7	
AW	5,665,309	9/1997	Champseix et al.	422	63	
AX	5,753,187	5/1998	Reynolds et al.	422	102	
AY	5,857,573	1/1999	Pakeriasamy			
AZ	5,945,334	8/1999	Besener et al.			
BA	6,386,749	5/2002	Watts et al.			

Examiner Signature	Date Considered
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known			
Sheet	4	of		Application Number	10/727,877		
				Filing Date	December 3, 2003		
				First Named Inventor	Winkler, James L.		
				Art Unit			
				Examiner Name			
				Attorney Docket Number	018547-035540US		

BB	6,114,122	9/2000	Besener et al.			
BC	6,140,044	10/2000	Besener et al.			
BD	6,050,719	4/18/00	Winkler et al.			

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-class	Trans (Yes/ No)
✓ BE	DE 297 19 099 U1	04/1998	Germany			
✓ BF	2 229 814 A	10/1990	Great Britain			
✓ BG	WO 95/33846	12/1995	PCT			
✓ BH	WO 97/21834	06/1997	PCT			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BI	Product literature of the Rotamix rotator from Appropriate Technical Resources, Inc., P.O. Box 460, Laurel, MD 20725
EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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